



Attorney Docket No.: D8855-00002
Formerly Atty. Docket No.: PHILLIPS-1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: Bolton, et al.

Serial No.: 09/936,599

Group Art Unit: To Be Assigned

Filed: September 14, 2001

Examiner: To Be Assigned

(Int'l. Filing Date: March 17, 1999)

For: **RADIATION CURABLE RESIN COMPOSITION**

Certification Under 37 CFR 1.8

I hereby certify that this document (along with the enclosed Information Disclosure Statement and cited references and any additional documents referred to therein) is being deposited with the United States Postal Service on the date shown below with sufficient postage to the following:

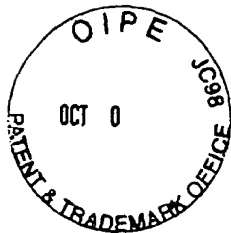
Commissioner for Patents
Washington, DC 20231

Dated:

October 1, 2001

Kia Williams Lowery

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PC/PTO 04 OCT 2001
OUR FILE
D8855-00002-6-18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bolton, et al.

Serial No.: 09/936,599

Examiner: ~~N/A~~ *Bekman*

Filed: September 14, 2001

Group Art Unit: ~~N/A~~ *1711*

PCT International Filing Date: ~~March 17, 1999~~

For: RADIATION CURABLE RESIN COMPOSITION

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith is an Information Disclosure Statement pursuant to 37 C.F.R.

§§1.97 and 1.98 in connection with the above-identified application, which statement is being filed:

- ☐ Together with the present application.
- ☒ Before the first Office Action on the merits or three (3) months from the filing date of this application, whichever occurs last.
- ☐ After the first Office Action on the merits, but before a Final Office Action under §1.113 or Notice of Allowance under §1.311, whichever occurs first. (A certification or fee in lieu of certification is attached).
- ☐ After a Final Office Action under §1.113 or Notice of Allowance under §1.311, but prior to payment of the Issue Fee. (A petition fee is attached).
- ☐ After payment of the Issue Fee.

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Consistent with Applicant's obligations pursuant to 37 C.F.R. §§1.97 and 1.98, the following requirements have been met:

- ☒ No separate requirements are needed.
- ☐ The undersigned certifies pursuant to §1.97(e) that:
- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement pursuant to Rule 1.97(e)(1); or
 - ☐ No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office, in a counterpart foreign application, or to his/her knowledge, after making reasonable inquiry, was known to any individual designated in §1.56(c) in connection with the instant application more than three (3) months prior to the filing of this Information Disclosure Statement.
- ☐ In lieu of a §1.97(e) Certification, a fee in the amount of \$_____ pursuant to §1.17(p) is submitted.
- ☐ A Petition Fee in the amount of \$_____ pursuant to §1.17(i)(1) is submitted. Applicant(s) hereby petition(s) the Commissioner to consider the enclosed Information Disclosure Statement.
- ☐ The Issue Fee has been paid, and Applicant(s) hereby submit(s) a fee pursuant to §1.17(i)(1) and petition(s) the Commissioner to withdraw the instant application from issue pursuant to §1.313(b).
- ☐ One or more of the allowable claims in the instant application is/are unpatentable pursuant to §1.313(b)(3); or
 - ☐ Applicant(s) expressly abandon(s) this application to permit consideration of an Information Disclosure Statement in the continuing application submitted herewith pursuant to §1.313(b)(5).
- ☐ A check in the amount of \$_____ is enclosed.
- ☒ No additional fee is required.

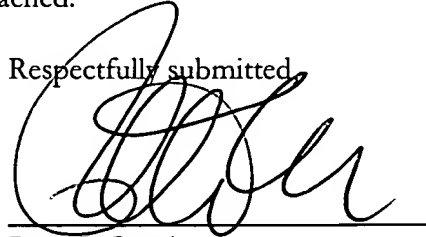
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[XX]

The Commissioner is hereby authorized to charge any fees associated with this communication or credit any overpayment to Deposit Account No. 04-1679. A triplicate copy of this transmittal is attached.

Respectfully submitted,

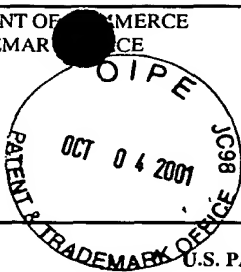
A handwritten signature in black ink, appearing to read "Peter J. Cronk", written over a horizontal line.

Peter J. Cronk
Registration No. 32,021

Dated: 10-1-01

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FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. D8855-00002	SERIAL NO. 09/936,599
	APPLICANT BOLTON, et al.	
	FILING DATE September 14, 2001 (PCT Filing Date: March 17, 1999)	GROUP



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	5,847,022	12/08/19 98	Yamashina, et al.	522	149	
	B	4,297,185	10/27/19 81	Chevreur et al.	204	159.15	
	C	4,451,568	05/29/19 84	Schneider et al.	435	181	
	D	4,139,499	02/13/19 79	Wade, et al.	521	32	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION YES NO	
	E	0 214 089	03/11/198 7	EP	Battelle Memorial Institute		YES
	F	WO 97/14750	04/24/199 7	PCT	W.R. Grace & Co.		YES
	G	WO 98/31719	07/23/199 8	PCT	E.G. Technology Partners, LP		YES
	H	88546/82	06/02/198 3	AU	Buckman Laboratories, Inc.		YES

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	I	W.D. Cook and G.B. Guise, "Polymer Update: Science and Engineering", Australian Polymer Science Series Volume 2, Polymer Division, Royal Australian Chemical Institute (1989), pages 22-25.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. (Form PTO-1449)